

HKEx Information Services Limited

(A wholly-owned member of Hong Kong Exchanges and Clearing Limited Group)

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By Email

**To: Direct Connection Derivatives Market Data Vendors and End Users
(Collectively “Clients”)**

Dear Sirs,

- 1) **Schedule of PRS/PRS Plus System Upgrade 2014**
- 2) **Market Rehearsal on Automatic Line Failover and Reconnection**

We would like to inform you that HKEx targets to upgrade its Price Reporting System (“ the system upgrade”) in February 2014 in preparation for business initiatives planned for the coming year. As a result, the PRS/PRS Plus host IP addresses of some Clients may need to be reassigned. We will notify such Clients of the new host IP addresses individually in due course.

Please note the schedule and arrangement of the system upgrade as specified below

Activity	Schedule	Remarks
Market Rehearsal	25 January 2014 (Saturday)	Mandatory
Post Release Test	8 February 2014 (Saturday)	Mandatory
Production Release	10 February 2014 (Monday)	

To ensure that the connectivity of direct connection Clients remains intact after the system upgrade, all direct connection Clients are required to participate in the Market Rehearsal and the Post Release Test.

Besides the Market Rehearsal for the system upgrade, the annual Market Rehearsal for automatic line failover and line reconnection will also be held on Saturday 25 January 2014. Please be reminded that direct connection information vendors are required to build in capability to automatically detect line failure and then switch the connection to the alternate line if they use Live-Backup connection or reconnect on the same line to recover the failed connection if they use Live-Live connection. All direct connection information vendors must participate in this regular Market Rehearsal to demonstrate that their systems are capable of meeting the above-mentioned technical requirement on an on-going basis.

The rundowns of the above Market Rehearsals will be provided in due course.

Should you have any queries, please feel free to call our Vendor Support Hotline at (852) 2211 6558.

Yours sincerely,

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